

Position 3

UNITED STATES DEPARTMENT OF AGRICULTURE  
RURAL DEVELOPMENT  
RURAL HOUSING SERVICE

**IDENTITY OF INTEREST (IOI) QUALIFICATION**

*(To be attached to IOI Disclosure Certificate)*

*(To be completed by the Principal for each trade or business with an identity of interest (IOI) relationship with a Contracting entity). This form will be attached to Form RD 1944-30, "Identity of Interest Disclosure Certificate."*

Entity or IOI Company: \_\_\_\_\_

Trade/Business: \_\_\_\_\_

Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Taxpayer Identification No.: \_\_\_\_\_

Number of Full Time Employees: \_\_\_\_\_ Part Time: \_\_\_\_\_

Number of Years in Business: \_\_\_\_\_

Name of Contracting Entity: \_\_\_\_\_

Personnel (those responsible for completion of the contracted work):  
\_\_\_\_\_

Principal Name: \_\_\_\_\_

Home Phone Number: \_\_\_\_\_

S.S. No.: \_\_\_\_\_

Trade or Business: \_\_\_\_\_

Years in Business: \_\_\_\_\_

Training: \_\_\_\_\_

License Held: \_\_\_\_\_

License Nos.: \_\_\_\_\_

Name of Licensing Agencies: \_\_\_\_\_

Address: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Number of Years with Company: \_\_\_\_\_

Percent of Total Annual Compensation from Company: \_\_\_\_\_ %

Disclose any Criminal Convictions or Debarment from Government programs:

\_\_\_\_\_  
\_\_\_\_\_

Disclose any Current or Pending Legal Actions Against the Company or any of its Principals: \_\_\_\_\_

\_\_\_\_\_

I certify, under penalty of law\*, that the business in which I am employed is an ongoing trade or business qualified and properly licensed to undertake the work for which I intend to contract.

I further certify, under penalty of law,\* and with knowledge that this information may be verified, that the information submitted is true and accurate.

\_\_\_\_\_  
(Signature)  
IOI Entity Principal

\_\_\_\_\_  
Date

\*WARNING: Section 1001 of Title 18, United States Code provides, "Whoever, in any matter within jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined under this title or imprisoned not more than five years, or both."

To be used for Multi-Family Housing Loans to record the qualifications of Identity of Interest entities.

Form RD 1944-30 will be attached to evidence the existence of Identities of Interest.

- (1) Entity that has IOI with loan applicant, e.g., contractor, sub-contractor, supplier, management agent.
- (2) Loan applicant.
- (3) Superintendent, management agent of entity listed in (1).
- (4) Principal of entity listed in (1).
- (5) Information on person listed in (4).
- (6) Leave blank.

**(see reverse)**

PROCEDURE FOR PREPARATION : RD Instructions 1930-C and 1944-E.

PREPARED BY : Principal for trades or businesses with Identities of Interest relationships with RHS borrowers/applicants.

NUMBER OF COPIES : Original and two copies.

SIGNATURE REQUIRED : Original and two copies to servicing official and borrower.

DISTRIBUTION OF COPIES : Original filed in Borrower's case file, copy to Borrower, copy to State Director.